## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

M. HORIUCHI, et al.

Application No.:

Not assigned yet

Filed:

On even date herewith

For:

SEMICONDUCTOR DEVICE AND MANUFACTURING

**METHOD THEREOF** 

Art Unit:

Not assigned yet

Examiner:

Not assigned yet

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97 & 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 March 3, 2004

Sir:

In the matter of the above-identified application, applicants are submitting herewith copies of the documents listed in the attached Form PTO/SB/08A for the Examiner's consideration.

This information disclosure statement is being submitted with the new application.

Although the document listed on the attached Form PTO/SB/08A is not in the English language, the requirement of 37 CFR 1.98(a)(3) for a concise explanation of the relevance is satisfied by an English language abstract of the document provided herewith and the discussion beginning on page 4 of the specification.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

Docket No.: 520.43599X00

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus Deposit Account No. 01-2135 (520.43599X00) please credit any excess fees to such deposit account.

Respectfully submitted,

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute	e for form 1449A/PTO			Complete if Known			
				Application Number	Not assigned yet		
INFORMATION DISCLOSURE				Filing Date	On even date herewith		
	STATEMENT BY	APPLIC/	ANT	First Named Inventor	M. HORIUCHI, et al.		
				Art Unit	Not assigned yet		
(use as many sheets as necessary)			γ)	Examiner Name	Not assigned yet		
Sheet	1	of	1	Attorney Docket Number	520.43599X00		

U.S. PATENT DOCUMENTS						
Examiner Initials'	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind Code <sup>2</sup> (if known)				
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FOREIGN PATENT DOCUMENTS						
Examiner Initials'	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		JP 06-005536	01-14-1994	Sony Corp		
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OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the No. 1 item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.